

# *Position-specific scoring matrices (PSSM)*

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# Introduction

- In the biological literature, the binding specificity of a transcription factor is often represented with a consensus string, which can be strict (e.g. CAGTGggg) or include some ambiguous residues (e.g. CACGTW).
- This representation is convenient to speak about a TF binding specificity, but it is by no way operational to predict TFBS.
- We describe in the following slides the theoretical grounds of the most commonly used representation models for transcription factor binding specificity: position-specific scoring matrices (TFBM).

# Consensus representation

- The TRANSFAC database contains 8 binding sites for the yeast transcription factor Pho4p
  - 5/8 contain the core of high-affinity binding sites (CACGTG)
  - 3/8 contain the core of medium-affinity binding sites (CACGTT)
- The IUPAC ambiguous nucleotide code allows to represent variable residues.
- 15 letters to represent any possible combination between the 4 nucleotides (24 – 1=15).
- This representation however gives a poor idea of the relative importance of residues.

R06098	\TCA <b>CACGTG</b> GGA\
R06099	\GGC <b>CACGTG</b> CAG\
R06100	\TGA <b>CACGTG</b> GGT\
R06102	\CAG <b>CACGTG</b> GGG\
R06103	\TTC <b>CACGTG</b> CGA\
R06104	\ACG <b>CACGTT</b> GGT\
R06097	\CAG <b>CACGTT</b> TTC\
R06101	\TAC <b>CACGTT</b> TTC\
Cons	<b>nnVCACGT</b> KBDn

<i>IUPAC ambiguous nucleotide code</i>		
<b>A</b>	<b>A</b>	Adenine
<b>C</b>	<b>C</b>	Cytosine
<b>G</b>	<b>G</b>	Guanine
<b>T</b>	<b>T</b>	Thymine
<b>R</b>	<b>A or G</b>	puRine
<b>Y</b>	<b>C or T</b>	pYrimidine
<b>W</b>	<b>A or T</b>	Weak hydrogen bonding
<b>S</b>	<b>G or C</b>	Strong hydrogen bonding
<b>M</b>	<b>A or C</b>	aMino group at common position
<b>K</b>	<b>G or T</b>	Keto group at common position
<b>H</b>	<b>A, C or T</b>	not <b>G</b>
<b>B</b>	<b>G, C or T</b>	not <b>A</b>
<b>V</b>	<b>G, A, C</b>	not <b>T</b>
<b>D</b>	<b>G, A or T</b>	not <b>C</b>
<b>N</b>	<b>G, A, C or T</b>	aNy

# *From alignments to weights*

# Building a position-specific scoring matrix from a collection of sites

## Alignment of Pho4p binding sites (TRANSFAC annotations)

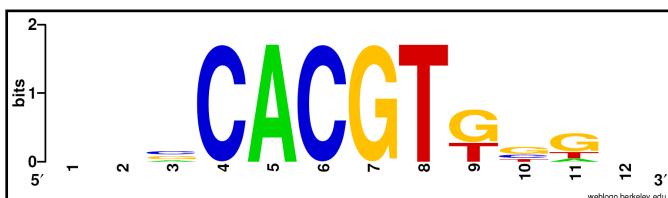
R06098	T	C	A	C	A	C	G	T	G	G	G	A
R06099	G	G	C	C	A	C	G	T	G	C	A	G
R06100	T	G	A	C	A	C	G	T	G	G	G	T
R06102	C	A	G	C	A	C	G	T	G	G	G	G
R06103	T	T	C	C	A	C	G	T	G	C	G	A
R06104	A	C	G	C	A	C	G	T	T	G	G	T
R06097	C	A	G	C	A	C	G	T	T	T	T	C
R06101	T	A	C	C	A	C	G	T	T	T	T	C

## Count matrix (TRANSFAC matrix F\$PHO4\_01)

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12
A	1	3	2	0	8	0	0	0	0	0	1	2
C	2	2	3	8	0	8	0	0	0	2	0	2
G	1	2	3	0	0	0	8	0	5	4	5	2
T	4	1	0	0	0	0	0	8	3	2	2	2
Sum	8	8	8	8	8	8	8	8	8	8	8	8

## Tom Schneider's sequence logo

(generated with Web Logo <http://weblogo.berkeley.edu/logo.cgi>)

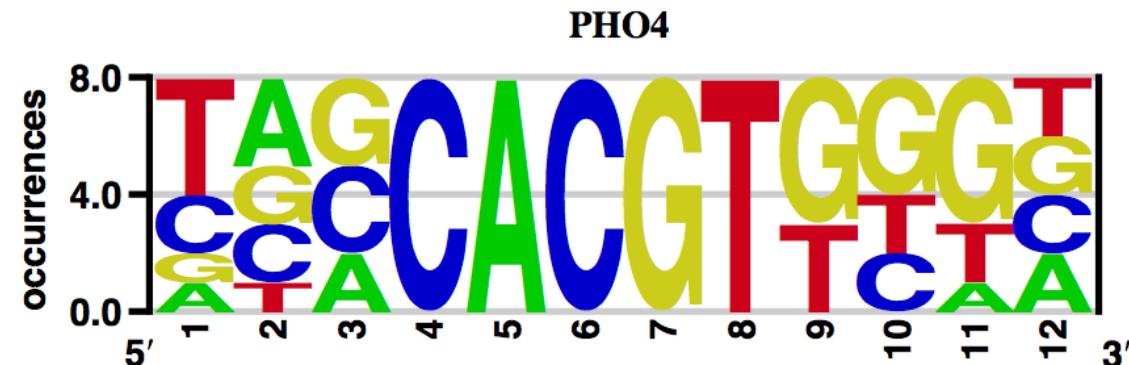


# Residue count matrix

Count matrix (TRANSFAC matrix F\$PHO4\_01)

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12
<b>A</b>	1	3	2	0	<b>8</b>	0	0	0	0	0	1	2
<b>C</b>	2	2	<b>3</b>	<b>8</b>	0	<b>8</b>	0	0	0	2	0	2
<b>G</b>	1	2	<b>3</b>	0	0	0	<b>8</b>	0	<b>5</b>	<b>4</b>	<b>5</b>	2
<b>T</b>	4	1	0	0	0	0	0	<b>8</b>	<b>3</b>	2	2	2
<b>Sum</b>	8	8	8	8	8	8	8	8	8	8	8	8

Tom Schneider's sequence logo  
(generated with Web Logo <http://weblogo.berkeley.edu/logo.cgi>)



# Frequency matrix

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12
<b>A</b>	0,125	0,375	0,250	0,000	1,000	0,000	0,000	0,000	0,000	0,000	0,125	0,250
<b>C</b>	0,250	0,250	0,375	1,000	0,000	1,000	0,000	0,000	0,000	0,250	0,000	0,250
<b>G</b>	0,125	0,250	0,375	0,000	0,000	0,000	1,000	0,000	0,625	0,500	0,625	0,250
<b>T</b>	0,500	0,125	0,000	0,000	0,000	0,000	0,000	1,000	0,375	0,250	0,250	0,250
<b>Sum</b>	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00	1,00

$$f_{i,j} = \frac{n_{i,j}}{\sum_{i=1}^A n_{i,j}}$$

*A*

*n<sub>i,j</sub>*

*p<sub>i</sub>*

*f<sub>i,j</sub>*

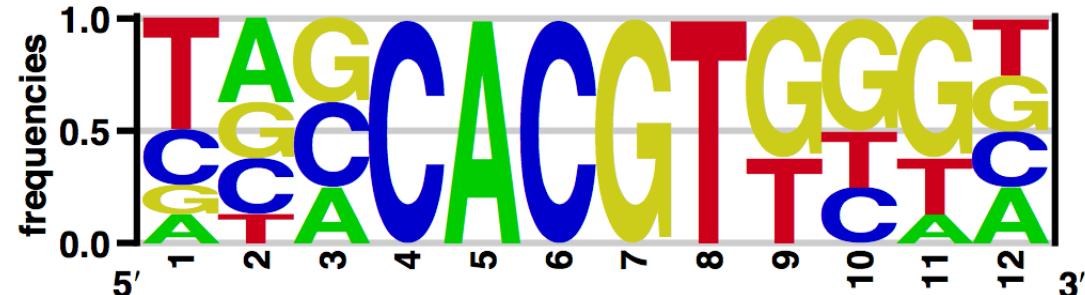
alphabet size (=4)

occurrences of residue *i* at position *j*

prior residue probability for residue *i*

relative frequency of residue *i* at position *j*

PHO4



# Count matrix with pseudo-count

1st option: identically distributed pseudo-weight (equiprobable residue priors)

Count matrix with pseudo-count

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior ( $p_i$ )
<b>A</b>	1,25	3,25	2,25	0,25	<b>8,25</b>	0,25	0,25	0,25	0,25	0,25	1,25	2,25	0,25
<b>C</b>	2,25	2,25	<b>3,25</b>	<b>8,25</b>	0,25	<b>8,25</b>	0,25	0,25	0,25	2,25	0,25	2,25	0,25
<b>G</b>	1,25	2,25	<b>3,25</b>	0,25	0,25	0,25	<b>8,25</b>	0,25	<b>5,25</b>	<b>4,25</b>	<b>5,25</b>	2,25	0,25
<b>T</b>	4,25	1,25	0,25	0,25	0,25	0,25	0,25	<b>8,25</b>	<b>3,25</b>	2,25	2,25	2,25	0,25
<b>Sum</b>	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	1,00

$$f'_{i,j} = \frac{n_{i,j} + k/A}{\sum_{i=1}^A n_{i,j} + k}$$

2nd option: pseudo-weights distributed according to residue-specific priors

Count matrix with pseudo-count

Residue\position	1	2	3	4	5	6	7	8	9	10	11	12	Prior ( $p_i$ )
<b>A</b>	1,33	3,33	2,33	0,33	<b>8,33</b>	0,33	0,33	0,33	0,33	0,33	1,33	2,33	0,33
<b>C</b>	2,17	2,17	<b>3,17</b>	<b>8,17</b>	0,17	<b>8,17</b>	0,17	0,17	0,17	2,17	0,17	2,17	0,17
<b>G</b>	1,17	2,17	<b>3,17</b>	0,17	0,17	0,17	<b>8,17</b>	0,17	<b>5,17</b>	<b>4,17</b>	<b>5,17</b>	2,17	0,17
<b>T</b>	4,33	1,33	0,33	0,33	0,33	0,33	0,33	<b>8,33</b>	<b>3,33</b>	2,33	2,33	2,33	0,33
<b>Sum</b>	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	9,00	1,00

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^A n_{i,j} + k}$$

$A$  alphabet size (=4)

$n_{i,j}$  occurrences of residue  $i$  at position  $j$

$p_i$  prior residue probability for residue  $i$

$f_{i,j}$  relative frequency of residue  $i$  at position  $j$

$k$  pseudo weight (arbitrary, 1 in this case)

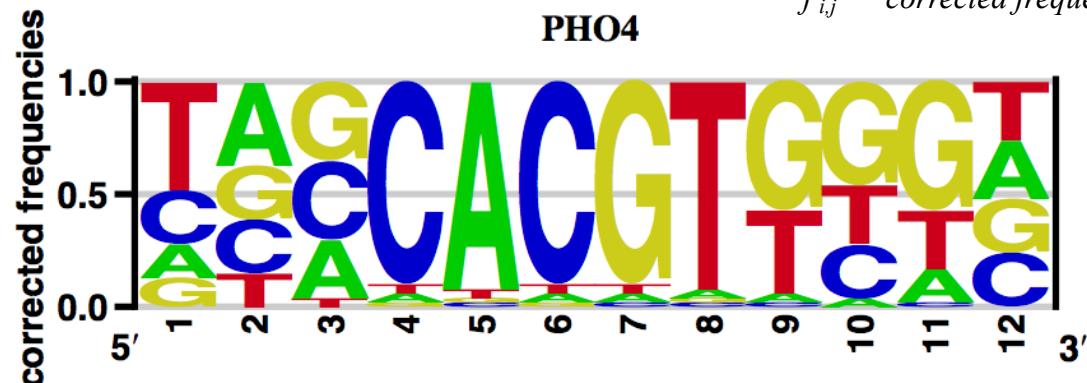
$f'_{i,j}$  corrected frequency of residue  $i$  at position  $j$

# Corrected frequency matrix

Residue\position	Frequency matrix corrected with pseudo-count												k= 1	Specific nucleotide frequencies
	1	2	3	4	5	6	7	8	9	10	11	12		
<b>A</b>	0,148	0,370	0,259	0,037	<b>0,926</b>	0,037	0,037	0,037	0,037	0,037	0,148	0,259	0,33	
<b>C</b>	0,241	0,241	<b>0,352</b>	<b>0,908</b>	0,019	<b>0,908</b>	0,019	0,019	0,019	0,241	0,019	0,241	0,17	
<b>G</b>	0,130	0,241	<b>0,352</b>	0,019	0,019	0,019	<b>0,908</b>	0,019	<b>0,574</b>	<b>0,463</b>	<b>0,574</b>	0,241	0,17	
<b>T</b>	0,481	0,148	0,037	0,037	0,037	0,037	0,037	<b>0,926</b>	<b>0,370</b>	0,259	0,259	0,259	0,33	
<b>Sum</b>	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,000	1,00

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^A n_{i,j} + k}$$

$A$  alphabet size (=4)  
 $n_{i,j}$  occurrences of residue  $i$  at position  $j$   
 $p_i$  prior residue probability for residue  $i$   
 $f_{i,j}$  relative frequency of residue  $i$  at position  $j$   
 $k$  pseudo weight (arbitrary, 1 in this case)  
 $f'_{i,j}$  corrected frequency of residue  $i$  at position  $j$



# Weight matrix (Bernoulli model)

Residue\position	k= 1												Specific nucleotide frequencies
	1	2	3	4	5	6	7	8	9	10	11	12	
<b>A</b>	-0,35	0,05	-0,11	-0,95	<b>0,45</b>	-0,95	-0,95	-0,95	-0,95	-0,95	-0,35	-0,11	0,33
<b>C</b>	0,15	0,15	<b>0,32</b>	<b>0,73</b>	-0,95	<b>0,73</b>	-0,95	-0,95	-0,95	0,15	-0,95	0,15	0,17
<b>G</b>	-0,12	0,15	<b>0,32</b>	-0,95	-0,95	-0,95	<b>0,73</b>	-0,95	<b>0,53</b>	<b>0,44</b>	<b>0,53</b>	0,15	0,17
<b>T</b>	0,16	-0,35	-0,95	-0,95	-0,95	-0,95	-0,95	<b>0,45</b>	<b>0,05</b>	-0,11	-0,11	-0,11	0,33
<b>Sum</b>	-0,150	0,004	-0,427	-2,135	-2,415	-2,135	-2,135	-2,415	-1,330	-0,472	-0,880	0,093	<b>1,00</b>

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{r=1}^A n_{r,j} + k}$$

$$W_{i,j} = \ln\left(\frac{f'_{i,j}}{p_i}\right)$$

$A$  alphabet size (=4)  
 $n_{i,j}$  occurrences of residue  $i$  at position  $j$   
 $p_i$  prior residue probability for residue  $i$   
 $f_{i,j}$  relative frequency of residue  $i$  at position  $j$   
 $k$  pseudo weight (arbitrary, 1 in this case)  
 $f'_{i,j}$  corrected frequency of residue  $i$  at position  $j$   
 $W_{i,j}$  weight of residue  $i$  at position  $j$

**The use of a weight matrix relies on Bernoulli assumption**

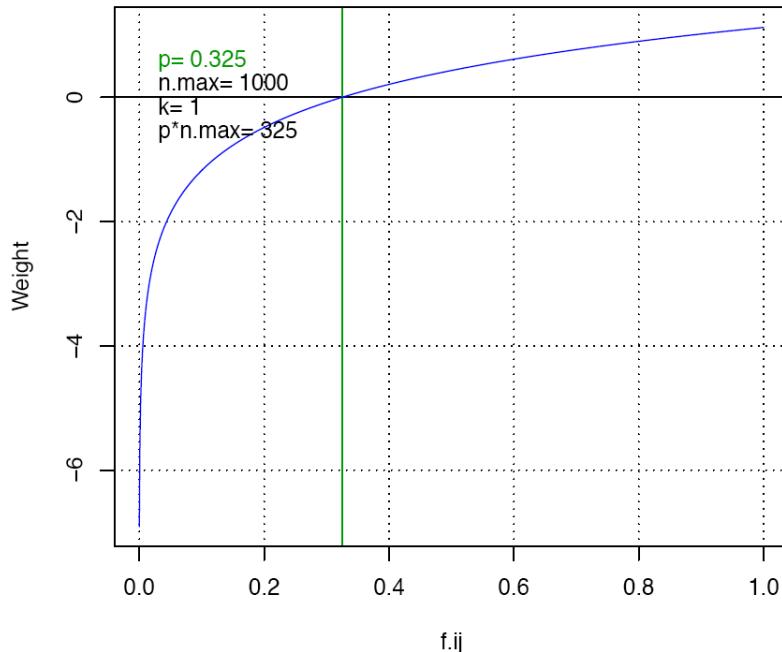
If we assume, for the background model, an independent succession of nucleotides (Bernoulli model), the weight  $W_S$  of a sequence segment  $S$  is simply the sum of weights of the nucleotides at successive positions of the matrix ( $W_{i,j}$ ).

In this case, it is convenient to convert the PSSM into a weight matrix, which can then be used to assign a score to each position of a given sequence.

# Properties of the weight function

$$W_{i,j} = \ln \left( \frac{f'_{i,j}}{p_i} \right)$$

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^A n_{i,j} + k} \quad \sum_{i=1}^A f'_{i,j} = 1$$



- The weight is
  - *positive* when  $f'_{i,j} > p_i$   
(favourable positions for the binding of the transcription factor)
  - *negative* when  $f'_{i,j} < p_i$   
(unfavourable positions)

# *Information content*

# Shannon uncertainty

- Shannon uncertainty
  - $H_s(j)$ : uncertainty of a column of a PSSM
  - $H_g$ : uncertainty of the background (e.g. a genome)
- Special cases of uncertainty (for a 4 letter alphabet)
  - $\min(H)=0$ 
    - No uncertainty at all: the nucleotide is completely specified (e.g.  $p=\{1,0,0,0\}$ )
  - $H=1$ 
    - Uncertainty between two letters (e.g.  $p=\{0.5,0,0,0.5\}$ )
  - $\max(H) = 2$  (Complete uncertainty)
    - One bit of information is required to specify the choice between each alternative (e.g.  $p=\{0.25,0.25,0.25,0.25\}$ ).
    - Two bits are required to specify a letter in a 4-letter alphabet.
- $R_{seq}$ 
  - Schneider (1986) defines an information content based on Shannon's uncertainty.
- $R_{seq}^*$ 
  - For skewed genomes (i.e. unequal residue probabilities), Schneider recommends an alternative formula for the information content .
  - This is the formula that is nowadays used.

$$H_s(j) = - \sum_{i=1}^A f_{i,j} \log_2(f_{i,j})$$

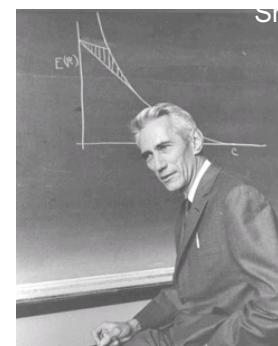
$$H_g = - \sum_{i=1}^A p_i \log_2(p_i)$$

$$R_{seq}(j) = H_g - H_s(j)$$

$$R_{seq} = \sum_{j=1}^w R_{seq}(j)$$

$$R_{seq}^*(j) = \sum_{i=1}^A f_{i,j} \log_2\left(\frac{f_{i,j}}{p_i}\right)$$

$$R_{seq}^* = \sum_{j=1}^w R_{seq}^*(j)$$



# Information content of a PSSM

Residue\position	k= 1												Specific nucleotide frequencies Prior (pi)
	1	2	3	4	5	6	7	8	9	10	11	12	
<b>A</b>	-0,12	0,04	-0,06	-0,08	<b>0,95</b>	-0,08	-0,08	-0,08	-0,08	-0,08	-0,12	-0,06	0,33
<b>C</b>	0,08	0,08	<b>0,26</b>	<b>1,52</b>	-0,04	<b>1,52</b>	-0,04	-0,04	-0,04	0,08	-0,04	0,08	0,17
<b>G</b>	-0,03	0,08	<b>0,26</b>	-0,04	-0,04	-0,04	<b>1,52</b>	-0,04	<b>0,70</b>	<b>0,46</b>	<b>0,70</b>	0,08	0,17
<b>T</b>	0,18	-0,12	-0,08	-0,08	-0,08	-0,08	-0,08	<b>0,95</b>	<b>0,04</b>	-0,06	-0,06	-0,06	0,33
<b>Sum</b>	0,112	0,092	0,370	1,318	0,791	1,318	1,318	0,791	0,620	0,405	0,476	0,043	1,00

$$f'_{i,j} = \frac{n_{i,j} + p_i k}{\sum_{i=1}^A n_{i,j} + k}$$

$$I_{i,j} = f'_{i,j} \ln\left(\frac{f'_{i,j}}{p_i}\right)$$

$$I_j = \sum_{i=1}^A I_{i,j}$$

$$I_{matrix} = \sum_{j=1}^w \sum_{i=1}^A I_{i,j}$$

$A$  alphabet size (=4)

$n_{i,j}$  occurrences of residue  $i$  at position  $j$

$w$  matrix width (=12)

$p_i$  prior residue probability for residue  $i$

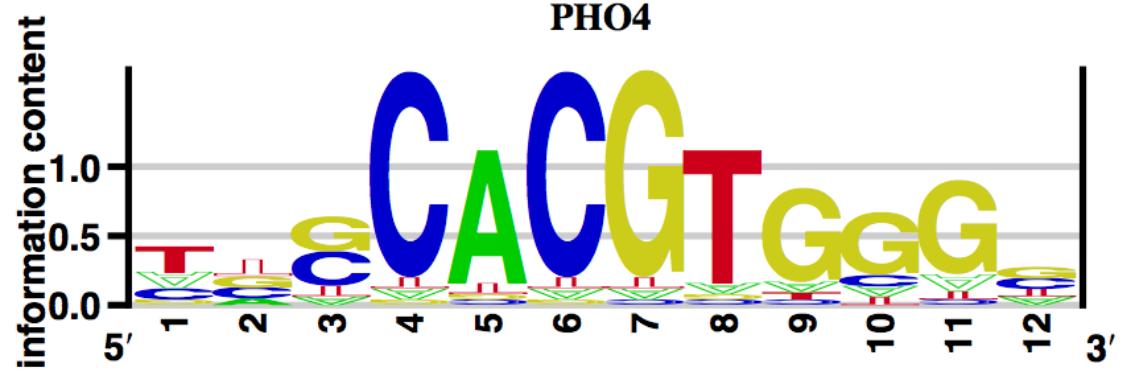
$f_{i,j}$  relative frequency of residue  $i$  at position  $j$

$k$  pseudo weight (arbitrary, 1 in this case)

$f'_{i,j}$  corrected frequency of residue  $i$  at position  $j$

$W_{i,j}$  weight of residue  $i$  at position  $j$

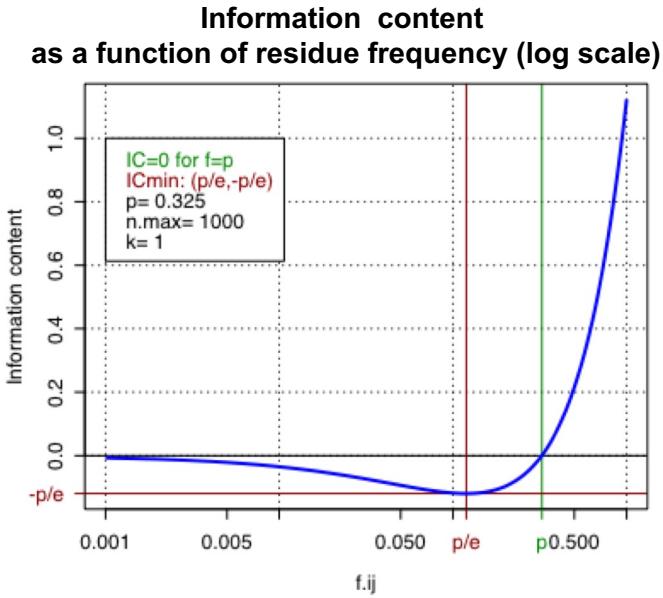
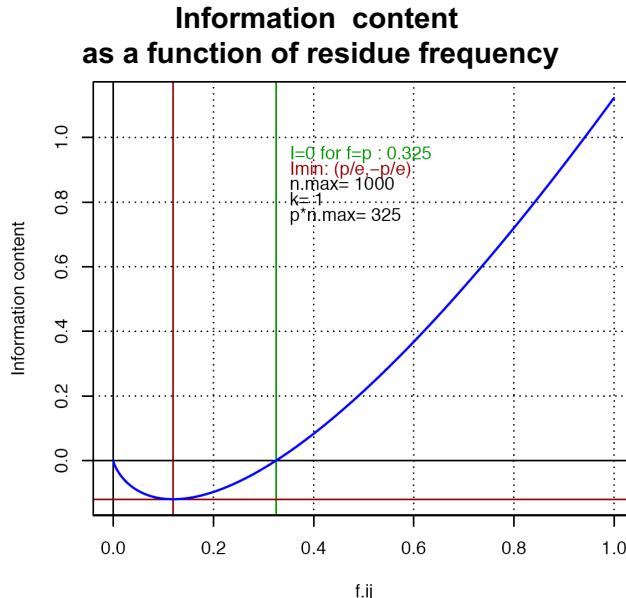
$I_{i,j}$  information of residue  $i$  at position  $j$



# Information content $I_{ij}$ of a cell of the matrix

- For a given cell of the matrix

- $I_{ij}$  is positive when  $f'_{ij} > p_i$   
(i.e. when residue  $i$  is more frequent at position  $j$  than expected by chance)
- $I_{ij}$  is negative when  $f'_{ij} < p_i$
- $I_{ij}$  tends towards 0 when  $f'_{ij} \rightarrow 0$   
because  $\lim_{x \rightarrow 0} (x \ln(x)) = 0$



# Information content of a column of the matrix

- For a given column  $i$  of the matrix
  - The information of the column ( $I_j$ ) is the sum of information of its cells.
  - $I_j$  is always positive
  - $I_j$  is 0 when the frequency of all residues equal their prior probability ( $f_{ij}=p_i$ )
  - $I_j$  is maximal when
    - the residue  $i_m$  with the lowest prior probability has a frequency of 1  
(all other residues have a frequency of 0)
    - and the pseudo-weight is null ( $k=0$ ).

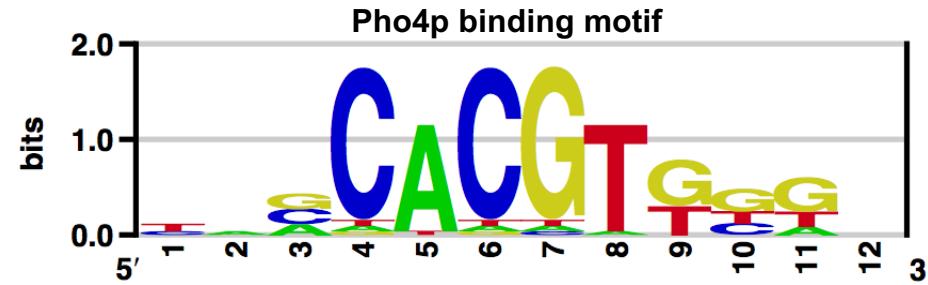
$$I_j = \sum_{i=1}^A I_{i,j} = \sum_{i=1}^A f_{i,j}^* \ln\left(\frac{f_{i,j}^*}{p_i}\right)$$

$$i_m = \arg \min_i (p_i) \quad k = 0$$
$$\max(I_j) = 1 \cdot \ln\left(\frac{1}{p_i}\right) = -\ln(p_i)$$

# Schneider logos

- Schneider & Stephens(1990) propose a graphical representation based on his previous entropy (H) for representing the importance of each residue at each position of an alignment. He provides a new formula for Rseq
  - $H_s(j)$  uncertainty of column j
  - $R_{seq}(j)$  “information content” of column j (beware, this definition differs from Hertz’ information content)
  - $e(n)$  correction for small samples (pseudo-weight)
- Remarks
  - This information content does not include any correction for the prior residue probabilities ( $\pi$ )
  - This information content is expressed in bits.
- Boundaries
  - $\min(R_{seq})=0$  equiprobable residues
  - $\max(R_{seq})=2$  perfect conservation of 1 residue with a pseudo-weight of 0,
- Sequence logos can be generated
  - from aligned sequences on the Weblogo server <http://weblogo.berkeley.edu/logo.cgi>
  - From matrices or sequences on enologos <http://www.benoslab.pitt.edu/cgi-bin/enologos/enologos.cgi>

$$H_s(j) = - \sum_{i=1}^A f_{ij} \log_2(f_{ij})$$
$$R_{seq}(j) = 2 - H_s(j) + e(n)$$
$$h_{ij} = f_{ij} R_{seq}(j)$$



# Information content of the matrix

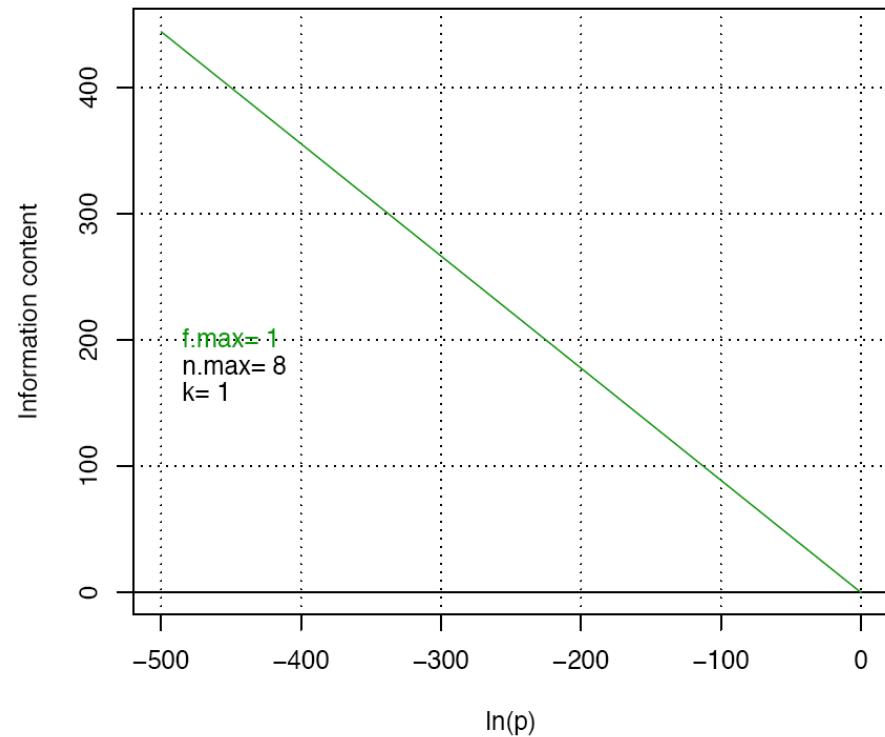
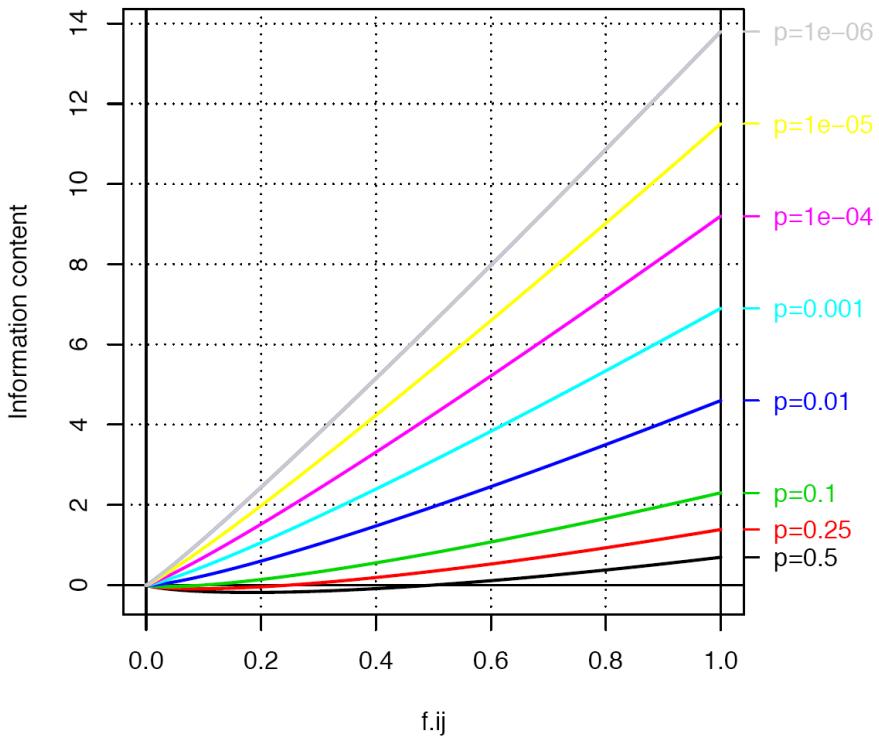
- The total information content represents the capability of the matrix to make the distinction between a binding site (represented by the matrix) and the background model.
- The information content also allows to estimate an upper limit for the expected frequency of the binding sites in random sequences.
- The pattern discovery program consensus (developed by Jerry Hertz) optimises the information content in order to detect over-represented motifs.
- Note that this is not the case of all pattern discovery programs: the gibbs sampler algorithm optimizes a log-likelihood.

$$I_{matrix} = \sum_{j=1}^w \sum_{i=1}^A I_{i,j}$$

$$P(site) \leq e^{-I_{matrix}}$$

# Information content: effect of prior probabilities

- The upper bound of  $I_j$  increases when  $p_i$  decreases
  - $I_j \rightarrow \text{Inf}$  when  $p_i \rightarrow 0$
- The information content, as defined by Gerald Hertz, has thus no upper bound.



# References - PSSM information content

- Seminal articles by Tom Schneider
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